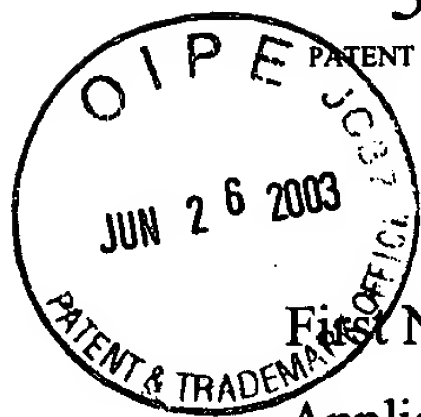




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PATENT TRADEMARK OFFICE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: CARPENTER, BARRY S.

Application No.: 09/911950

Group Art Unit: 2874

Filed: July 24, 2001

Examiner: Knauss

Title: PACKAGED OPTICAL MICRO-MECHANICAL DEVICE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

June 24, 2003

Date

Angie Zontelli

Signed by: Angie Zontelli

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

An English-language counterpart (U.S. Pat. No. 2001/0004085 A1) is provided in addition to the abstract of EP 1108677 A1.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

June 24, 2003

Date

By: Bradford B. Wright

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Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

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STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

JUN 26 2003

Page 1 of 1

Application Number

09/911950

Filing Date

July 24, 2001

First Named Inventor

Carpenter, Barry S.

Art Unit

2874

Examiner Name

Knauss

Attorney Case Number

56352US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 2001/0004085 A1 (Counterpart of EP 1108677 A1)	06-21-2001	Gueissaz	
	A2	US- 2002/0131680 A1	09-19-2002	Wilson et al.	
	A3	US- 2003/0021570 A1	01-30-2003	Carpenter	
	A4	US- 2003/0021541 A1	01-30-2003	Carpenter	
	A5	US- 6,470,111 B2	10-22-2002	Mortenson et al.	
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			
	A13	US-			
	A14	US-			

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes) Abs only
		Ctry. Code	Number-KindCode (If known)				
	B1	EP	1108677 A1 (With esp@cenet English Abstract)	06-20-2001			
	B2	JP	2001-296486	10-26-2001			

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	
	C2	
	C3	

*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)